

ANNEXURE B

Investors Complaints Data by SBI Capital Markets Limited

Data as on December 31, 2021 for Research Analysts

Sr. No.	Received from	Pending at the end of last month i.e. November 2021	Received during the month i.e. December 2021	Resolved during the month i.e. December 2021*	Total Pending #	Pending complaints > 3 month	Average Resolution time ^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month#
1	April, xxxx				
2	May, xxxx				
3	June, xxxx				
4				
5	March, xxxx				
	Grand Total				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of Complaints

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2018-19				
2	2019-20				
3	2020-21				
	Grand Total				